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DATE: November 12, 2002

PTO IDENTIFIER: Application Number 09/527,761-Conf. #3261  
Patent Number

Inventor: Brian C. Barker, et al.

MESSAGE TO: Examiner S. Lee

FAX NUMBER: (703) 872-9319 (After Final)

FROM: Connolly Bove Lodge & Hutz, LLP  
Larry J. Hume

PHONE: (202) 331-7111

Attorney Dkt. #: 21806-00085-US

PAGES (Including Cover Sheet): 31

CONTENTS: Certificate of Transmission (1 page)  
Transmittal Letter (1 page);  
Amendment Transmittal (1 page);  
Fee Transmittal (1 page);  
Amendment After Final Action (37 C.F.R. Section 1.116) (26 pages); and  
Charge \$168.00 to IBM deposit account 09-0456.

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PTO/SB/97 (12-97)

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on November 12, 2002  
Date

*Cathy M. Fitzgerald*  
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Cathy M. FitzGerald  
Typed or printed name of person signing Certificate

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Amendment Transmittal (1 page);  
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Charge \$168.00 to deposit account 22-0185.

Docket No.: 21806-00085-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Brian C. Barker, et al.

Conf. No: 3261

Application No.: 09/527,761

Group Art Unit: 2876

Filed: March 17, 2000

Examiner: S. Lee

For: WAFER IDENTIFICATION MARK

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TECHNOLOGY CENTER 2800

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Amendment Transmittal (1 page);
2. Fee Transmittal (1 page); and
3. Amendment After Final Action (37 C.F.R. Section 1.116) (26 pages).

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to CBLH Deposit Account No. 22-0185, under Order No. 21806-00085-US. A duplicate copy of this paper is enclosed.

Dated: November 12, 2002

Respectfully submitted,

By Larry J. Hume  
Larry J. Hume

Registration No.: 44,163  
CONNOLLY BOVE LODGE & HUTZ, LLP  
1990 M Street, N.W., Suite 800  
Washington, DC 20036-3425  
(202) 331-7111  
Attorneys for Applicant

## AMENDMENT TRANSMITTAL LETTER

Docket No.  
21806-00085-USApplication No.  
09/527,761-Conf. #3261Filing Date  
March 17, 2000Examiner  
S. LeeArt Unit  
2876

Applicant(s): Brian C. Barker, et al.

Invention: WAFER IDENTIFICATION MARK

## TO THE COMMISSIONER FOR PATENTS

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Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

NOV 12 2002

TECHNOLOGY CENTER 2800

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	67	- 67 =		x	0.00
Independent Claims	5	- 3 =	2	x 84.00	168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					168.00

☒ Large Entity☐ Small Entity☐ No additional fee is required for this amendment.

☒ Please charge Deposit Account No. 09-0456 (IBM) in the amount of \$ 168.00.  
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0185 as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

*Larry J. Hume*  
Larry J. Hume  
Attorney Reg. No.: 44,163

Dated: November 12, 2002

CONNOLLY BOVE LODGE & HUTZ, LLP  
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Washington, DC 20036-3425  
(202) 331-7111

Revised version of PTO/SB/17 (Based on PTO-issued Transmittal of 11-01)  
Updated for 4-digit fee codes effective 10-01-02

<b>FEE TRANSMITTAL for FY 2003</b>		<i>Patent fees are subject to annual revision.</i>																																																																																																																																																																																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>Complete If Known</b>																																																																																																																																																																																			
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The Commissioner is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>60</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIF prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIF after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>400</td><td>2252</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>820</td><td>2253</td><td>400</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>2254</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,960</td><td>2255</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,280</td><td>2453</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,280</td><td>2501</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>460</td><td>2502</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>620</td><td>2503</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>1480</td><td>130</td><td>1480</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>9021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>740</td><td>2809</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>740</td><td>2910</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>740</td><td>2801</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>800</td><td>1802</td><td>800</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	60	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIF prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIF after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	400	2252	200	Extension for reply within second month		1253	820	2253	400	Extension for reply within third month		1254	1,440	2254	720	Extension for reply within fourth month		1255	1,960	2255	980	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,280	2453	640	Petition to revive - unintentional		1501	1,280	2501	640	Utility issue fee (or reissue)		1502	460	2502	230	Design issue fee		1503	620	2503	310	Plant issue fee		1480	130	1480	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		9021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))		1810	740	2910	370	For each additional invention to be examined (37 CFR 1.129(b))		1801	740	2801	370	Request for Continued Examination (RCE)		1802	800	1802	800	Request for expedited examination of a design application	
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<b>SUBMITTED BY</b> Name (Print/Type): Larry J. Hume Signature: <i>Larry J. Hume</i>		<b>Complete (if applicable)</b> Registration No. (Attorney/Agent): 44,163 Telephone: (202) 331-7111 Date: November 12, 2002																																																																																																																																																																																			

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